Call for proposals 2020
EAC/A02/2019
Ms Giordana Bruno
Project Adviser
EACEA
News in the Political background with Asia

- **Joint communication:** Connecting Europe and Asia – Building blocks for an EU strategy - People to people connectivity (JOIN (2018) 31 final)

• **ASEM education process**: ministerial meeting (Bucharest 14-16 May 2019), "sustainable development" and "digitalisation" have been added as transversal themes to the four priorities of the ASEM Education Process.

• **5th HPPD** *(EU-CN high level people to people dialogue)* Brussels first half of 2020

• **Global policy dialogue** back to back with the Bologna ministerial meeting (Rome June 2020)
Erasmus+

Specific activities:
- Jean Monnet
- Sport

KA1 Mobility
KA2 Cooperation
KA3 Policy

Systems
Institutions
Individuals

CBHE Action
What is CBHE?

- EU Development Policy
- Cooperation
- Beneficiaries: Partner Countries
- Transfer of Knowledge
- Mutual learning
CBHE Action Objectives

- Regional integration
- Modernisation of HE institutions and systems
- Internationalisation
- Competences and Skills
- Quality of HE
- Management governance
Impact – Types of Projects

**Joint Projects:**
- Curriculum development
- University governance & management
- Links between HE institutions and the wider economic and social environment

=> Impact on Institutions

**Structural Projects:**
- Modernisation of policies, governance and management of Higher Education systems
- Links between HE systems and the wider economic and social environment

=> Impact on HE Systems

**Exception**
Syria cannot have structural projects
### Types of Projects: Geographical scope

<table>
<thead>
<tr>
<th>National</th>
<th>Multi-country</th>
</tr>
</thead>
<tbody>
<tr>
<td>➢ <strong>Relevance of the proposal:</strong> needs to be observed and accomplished not only at national level but also for each of the HEIs involved</td>
<td>➢ <strong>Relevance</strong> of the proposal: needs <strong>common to all</strong> Partner Countries to be emphasised; clear justification for involvement of more than one region in a <strong>cross-regional project</strong></td>
</tr>
<tr>
<td>➢ Balanced involvement and clear benefits for all participating Partner Country HEIs</td>
<td>➢ <strong>Balanced involvement and clear benefits for all participating Partner Countries and Partner Country HEIs</strong></td>
</tr>
</tbody>
</table>
National priorities are defined by the Ministries of Education in close consultation with the EU Delegations.

National projects must address:
- **The Asian Regional priorities**

Regional priorities are defined by the Commission and based on EU's external policy priorities.

Multi-Country projects must address:
- a regional priority for Asia for **regional projects**
  or
- a regional priority that is common to different Regions for **cross-regional projects**
Cross-cutting priorities will be considered for deciding between proposals of similar quality:

- Diversification of projects within a Region, in terms of objectives, activities, target groups, and consortium composition

- Integration of refugees from conflict-affected countries in Higher Education

- Climate change prevention, adaption and mitigation strategies (new !)
<table>
<thead>
<tr>
<th>Categories of Priorities</th>
<th>Curriculum Development</th>
<th>Governance and Management</th>
<th>Higher Education and Society</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Subject Areas</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Improving Management and operation of HEIs</td>
<td></td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>3. Developing the HE sector within society at large</td>
<td></td>
<td></td>
<td>X</td>
</tr>
</tbody>
</table>

Please note that only 1 choice per type of project is possible!
Regional CBHE Priorities in Asia

Curriculum development:

- Education
- Arts
- Humanities (except languages)
- Social and behavioural science
- Journalism and information
- Business and administration
- Law
- Physical sciences
- Mathematics and statistics
- Information and Communication Technologies
- Engineering and engineering trades
- Manufacturing and processing
- Agriculture, forestry, fisheries and veterinary
- Health
- Personal services
- Environment
- Transport services
Regional CBHE Priorities in Asia

Improving management and operation of Higher education institutions (HEIs)

- Governance, strategic planning and management of higher education institutions
- University services
- Internationalisation of higher education institutions
- Equity, access to and democratisation of higher education
Regional CBHE Priorities in Asia

Developing the Higher Education sector within society at large - joint/structural projects

- Non-University sector at tertiary education level
- Development of school and vocational education at post-secondary non-tertiary education level
- University-enterprise cooperation
- Recognition of qualifications and Qualification frameworks
- Definition, implementation and monitoring of reform policies
## CBHE Action – Programme and Partner Countries

<table>
<thead>
<tr>
<th>Programme Countries</th>
<th>CBHE Eligible Partner Countries (&gt; 150 countries)</th>
</tr>
</thead>
<tbody>
<tr>
<td>(34 countries paying a contribution to E+)</td>
<td></td>
</tr>
</tbody>
</table>

### Programme Countries:

**28* EU Member States:**

![EU Flag]

**Other programme countries:**

Iceland, Liechtenstein, Norway, Republic of North Macedonia, Republic of Serbia, Turkey

**Regions:**

1: Western Balkans
2: Eastern Partnership
3: South-Mediterranean
4: Russian Federation
5: Asia
6: Central Asia
7: Latin America (For Chile and Uruguay, see ‘Exceptions’)
8: Iran, Iraq, Yemen
9: South Africa
10: African, Caribbean and Pacific

### CBHE Eligible Partner Countries:

- Regions 5, 12 and 13 (except Chile and Uruguay)

- For UK organisations: Please be aware that eligibility criteria must be complied with for the entire duration of the grant. If UK withdraws from the EU during the grant period without concluding an agreement with the EU ensuring in particular that British applicants continue to be eligible, you will cease to receive EU funding (while continuing, where possible, to participate) or be required to leave the project on the basis of the relevant provisions of the grant agreement on termination.
• Afghanistan
• Bangladesh
• Bhutan
• Cambodia
• China
• DPR Korea
• India
• Indonesia
• Laos
• Malaysia

• Maldives
• Mongolia
• Myanmar
• Nepal
• Pakistan
• Philippines
• Sri Lanka
• Thailand
• Vietnam
**Consortium Structure**

**National Projects**
(1 Partner Country only + min. 2 Programme Countries)

- Min.1 HEI from each Programme Country
- Min.3 HEIs from the Partner Country
- At least as many Partner Country HEIs as Programme Country HEIs

**Multi-Country Projects**
(≥ 2 Partner Countries + min. 2 Programme Countries)

- Min.1 HEI from each Programme Country
- Min.2 HEIs from each Partner Country
- At least as many Partner Country HEIs as Programme Country HEIs

**Exceptions:**
Syria, Libya, Russia, Latin America, Chile and Uruguay

**Structural Projects:**
Partner Country Ministries for HE must participate
Exceptions

- Projects involving partners from Latin America must involve at least **two** Partner Countries from that Region in the project.
- Higher Education Institutions (HEIs) from Chile and Uruguay are eligible as partners in a CBHE project but they cannot be applicants.
- Organisations from Libya and Syria as well as from the Russian Federation cannot act as applicants.
- Syria may not benefit from Structural projects.
- Projects involving partner institutions from the Russian Federation must involve at least another Partner Country.
Who can apply?

As applicant:
- A Higher Education Institution (HEI)
- An association or organisation of HEIs
- A legally recognised national or international rector, teacher or student organisation (for Structural Projects ONLY)

As eligible participating organisation:
- A higher education institution (HEI)
- Any public or private organisation active in the labour market or in the fields of education, training and youth

Each participating organisation must be located in a Programme Country or in an eligible Partner country.

Associated partners can contribute to the implementation of specific project tasks/activities or support the dissemination and sustainability of the project without receiving funding.
CBHE projects can last 2 or 3 years

Earliest starting dates*:
- 15 November 2020 or
- 15 January 2021

Please note: Grants cannot be awarded retroactively. This means that project activities covered by the grant can only be implemented as from the date on which the last party has signed the grant agreement.
Project Budget

Min. 500,000 – Max. 1,000,000€

Unit Costs and Real Costs

5 Budget Headings
## Budget Categories

<table>
<thead>
<tr>
<th>Staff costs (max 40%)</th>
<th>4 Staff Categories (Manager, Researcher/Teacher/Trainer, Technician, Administrator)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Travel costs</td>
<td>Staff/students from partner institutions from their place of origin to the venue of the activity and return. Activities and related travels must be carried out in countries involved in the project.</td>
</tr>
<tr>
<td>Costs of stay</td>
<td>Subsistence, accommodation, local and public transport, personal or optional health insurance.</td>
</tr>
<tr>
<td>Equipment (max 30%)</td>
<td>Exclusively for the benefit of HEIs in the Partner Countries and necessary for the implementation of the project</td>
</tr>
<tr>
<td>Sub-contracting (max 10%)</td>
<td>For services/competences that can't be found in the consortia</td>
</tr>
<tr>
<td>(Exceptional costs)</td>
<td>Expensive travel costs of participants, including the use of cleaner, lower carbon emission means of transport</td>
</tr>
</tbody>
</table>
Evaluation: Two-steps procedure (I)

First step

- Eligible applications are assessed with the support of external experts against the 4 Award Criteria explained in the Guide for Applicants.

- The scoring has a range from 0 to 100 points. To be considered for funding, proposals must score at least 60 points in total AND at least 15 points for "Relevance of the project".

- A ranking list of applications per Region (two times the estimated number of funded projects) will be sent to the EU Delegations.
Evaluation: Award Criteria

- **Relevance** (30 points)
- **Quality of Design + Implementation** (30 points)
- **Quality of Team + Cooperation arrangements** (20 points)
- **Impact and Sustainability** (20 points)
Evaluation : Two-steps procedure (II)

Second step

- Consultation of the EU Delegations concerning the "Feasibility of the project in the local context"

- The Evaluation Committee takes into account furthermore in its funding decision:
  - a sufficient geographical representation within a Region in terms of number of projects per Partner Country
  - budget available for each of the Regions covered by the call
  - subject areas insufficiently covered by past or existing projects
  - cross regional proposal need to be funded in all Regions targeted by a proposal
  - A maximum of three funded proposals per applicant organisation
**Call for Proposals 2020**

### Indicative budget (tbc)

<table>
<thead>
<tr>
<th></th>
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<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>REGION 1 - Western Balkans</td>
<td>13,17</td>
<td>13,82</td>
<td>14,57</td>
<td>16,39</td>
<td>16,50</td>
<td>19</td>
</tr>
<tr>
<td>REGION 2 - Eastern Partnership countries</td>
<td>13,86</td>
<td>13,51</td>
<td>12,88</td>
<td>12,77</td>
<td>15,02</td>
<td>17</td>
</tr>
<tr>
<td>Additional allocation for Georgia/Ukraine*</td>
<td>1/1</td>
<td>1/1</td>
<td>1/1</td>
<td>1/1</td>
<td>1/1</td>
<td>1/1</td>
</tr>
<tr>
<td>REGION 3 - South-Mediterranean countries</td>
<td>28,57</td>
<td>27,84</td>
<td>26,55</td>
<td>26,17</td>
<td>30,16</td>
<td>34</td>
</tr>
<tr>
<td>Additional allocation for Tunisia*</td>
<td>3,00</td>
<td>0</td>
<td>3</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>REGION 4 - Russian Federation</td>
<td>6,89</td>
<td>6,72</td>
<td>6,41</td>
<td>5,85</td>
<td>8,15</td>
<td>9</td>
</tr>
<tr>
<td>REGION 6 - Asia</td>
<td>35,38</td>
<td>39,55</td>
<td>41,44</td>
<td>47,46</td>
<td>49,09</td>
<td>55</td>
</tr>
<tr>
<td>REGION 7 - Central Asia</td>
<td>9,2</td>
<td>8,80</td>
<td>8,80</td>
<td>9,42</td>
<td>11,73</td>
<td>13</td>
</tr>
<tr>
<td>REGION 8 - Latin America</td>
<td>13,1</td>
<td>13,20</td>
<td>13,20</td>
<td>12,70</td>
<td>15,05</td>
<td>17</td>
</tr>
<tr>
<td>REGION 9 – Middle East (Iran, Iraq, Yemen)</td>
<td>1,9</td>
<td>2,00</td>
<td>2,10</td>
<td>2,20</td>
<td>3,19</td>
<td>4</td>
</tr>
<tr>
<td>REGION 10 - South Africa</td>
<td>3,42</td>
<td>3,91</td>
<td>4,20</td>
<td>4,50</td>
<td>5,01</td>
<td>6</td>
</tr>
<tr>
<td>REGION 11 - ACP countries</td>
<td>5,29</td>
<td>5,64</td>
<td>6,00</td>
<td>6,35</td>
<td>6,71</td>
<td>8</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td><strong>131,47</strong></td>
<td><strong>138,68</strong></td>
<td><strong>136,15</strong></td>
<td><strong>148,81</strong></td>
<td><strong>162,63</strong></td>
<td><strong>182</strong></td>
</tr>
</tbody>
</table>

*An additional allocation for Georgia/Ukraine might become available subject to the relevant Commission decisions being taken.*

**Calculated on the average grant/project recorded in 2019**
<table>
<thead>
<tr>
<th>Steps</th>
<th>Dates</th>
</tr>
</thead>
<tbody>
<tr>
<td>Publication Erasmus+ CBHE Call for Proposals</td>
<td>5 November 2019</td>
</tr>
<tr>
<td>Deadline for submission of applications</td>
<td><strong>5 February 2020</strong> (17:00 Brussels time)</td>
</tr>
<tr>
<td>Assessment of projects by experts</td>
<td>February – April 2020</td>
</tr>
<tr>
<td>Consultation procedure with EU Delegations</td>
<td>May - June 2020</td>
</tr>
<tr>
<td>Final Evaluation Committee</td>
<td>July 2020</td>
</tr>
<tr>
<td>Award decision</td>
<td>July 2020</td>
</tr>
<tr>
<td>Notification of applicants &amp; publication of results</td>
<td>August 2020</td>
</tr>
<tr>
<td>Preparation and signature of grant agreements</td>
<td>August - December 2020</td>
</tr>
<tr>
<td>Earliest start of eligibility period</td>
<td>15 November 2020 or 15 January 2021</td>
</tr>
</tbody>
</table>
## Selection results 2019

<table>
<thead>
<tr>
<th>Stages</th>
<th>Region 1 - Western Balkans</th>
<th>Region 2 - Eastern Partnership Countries</th>
<th>Region 3 - South Mediterranean Countries</th>
<th>Region 4 - Russian Federation</th>
<th>Region 5 - Central Asia</th>
<th>Region 7 - Latin America</th>
<th>Region 8 - Middle East (Iran, Iraq, Yemen)</th>
<th>Region 10 - South Africa</th>
<th>Region 11 - ACP</th>
<th>TOTAL*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of Proposals Received</td>
<td>78</td>
<td>186</td>
<td>236</td>
<td>83</td>
<td>169</td>
<td>104</td>
<td>87</td>
<td>16</td>
<td>18</td>
<td>51</td>
</tr>
<tr>
<td>Number of Proposals Sent to Consultation</td>
<td>45</td>
<td>55</td>
<td>73</td>
<td>36</td>
<td>119</td>
<td>40</td>
<td>28</td>
<td>5</td>
<td>12</td>
<td>21</td>
</tr>
<tr>
<td>Number of Proposals Proposed for Funding</td>
<td>20</td>
<td>20</td>
<td>34</td>
<td>8</td>
<td>55</td>
<td>15</td>
<td>14</td>
<td>2</td>
<td>5</td>
<td>8</td>
</tr>
<tr>
<td>Success Rate</td>
<td>25,6%</td>
<td>10,7%</td>
<td>14,4%</td>
<td>9,6%</td>
<td>32,5%</td>
<td>14,4%</td>
<td>16,0%</td>
<td>12,5%</td>
<td>27,7%</td>
<td>15,6%</td>
</tr>
</tbody>
</table>

*Figures cannot be added as cross-regional applications are counted in more than one or two regions*
NUMBER OF APPLICATIONS SUBMITTED FROM ASIAN ORGANISATIONS (2015-2019)
SELECTED PROJECTS INVOLVING ASIAN HEIS - 2015-2019
Activities of E+ CBHE projects in Region 6 - Asia
2015 - 2019

- Strengthening of relations between higher education systems and the wider economic and social environment
  - Modernisation of policies, governance and management of higher education systems
- Strengthening of relations between HEIs and the wider economic and social environment
  - Modernisation of governance, management and functioning of HEIs
- Curriculum development

Count:
- Strengthening of relations: 3
- Modernisation of policies: 12
- Modernisation of governance: 50
- Modernisation of management: 45
- Curriculum development: 118
Selected Curriculum Development Projects involving Asia - 2015 - 2019
News in the 2020 Call for proposals

- **New cross-cutting priority** on "Climate change prevention, adaption and mitigation strategies"

- **Exceptional** costs can be claimed also for travels in cases where the participants opt for cleaner, lower carbon emission means of transport (e.g. train)

- For **UK organisations**: eligibility criteria must be complied with for the entire duration of the grant. Brexit should be followed carefully:

  [https://ec.europa.eu/programmes/erasmus-plus/about/brexit](https://ec.europa.eu/programmes/erasmus-plus/about/brexit)
Key messages for 2020 (I)

- Attention must be paid to the respect of national and regional priorities
- National and regional cooperation in ASIA is encouraged
- Regional and cross-regional cooperation should be relevant and justified by a detailed analysis of common needs and objectives
- Mandate letters for each partner institution must be provided with the application and signed by the Legal Representative
- Declaration of honour to be provided by the applicant organisation
- Organisations need to register in the Funding & tender opportunities portal in order to obtain the Participant Identification Code (PIC): [https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/home](https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/home)
Key messages for 2020 (II)

- Ownership of the proposal by the Asian partners should be evident
- Active involvement and role of the Asian partners
- National legislation and possible challenges should be taken into account (i.e. FCRA in India)
- Structural projects, involving the Ministry of Higher Education, are encouraged
- Diversification of projects
- Link with the internationalisation strategy of the Asian HEIs involved
- Attention to eligibility criteria
For Curriculum Development projects:

- Study programmes must be officially accredited *before the end* of the project time life

- The teaching of new or updated courses must start *during the life-time of the project* with an adequate number of students and retrained teachers and has to take place during at least one third of the project duration

- Training during curriculum reform projects can also target administrative personnel such as library staff, laboratory staff and IT staff
Special attention will be given to proposals:

- Involving the least developed countries
- Involving universities in more remote areas
- Targeting disadvantaged students from poor socio-economic backgrounds
- Targeting students with special needs
CBHE Information sources

Erasmus+ website - EACEA

Erasmus+ website – EU Commission
thank you